

2014

Organization / Agency Information

Organization/Agency Name: THE HEART AND SOUL ANIMAL SANCTUARY			CITY/STATE/ZIP SANTA FE, NEW MEXICO 87501		
Physical Address: ROAD 63A, FIREGATE 74			CITY/STATE/ZIP GLORIETA, NEW MEXICO 87501		
Mailing Address: 369 MONTEZUMA AVE. NO. 130.			CITY/STATE/ZIP SANTA FE, NEW MEXICO 87501		
CEO or Director: NATALIE OWINGS			Title: FOUNDER AND DIRECTOR		
Phone: 505-757-6817	Fax:	Email: SSBCPECOS@DIRECTV.NET			
Contact Person: NATALIE OWINGS			Title: DIRECTOR		
Phone: 505-757-6817	Fax:	Email:			
Web Site Address: NNN.ANIMAL-SANCTUARY.ORG			Tax ID: 31-1919681		

Program / Grant Information

Interest Area: Health Environment Animal Protection Education Human Dignity

Program / Project Name: THE SMITH VETERINARY HOSPITAL / VETERINARY MEDICINE		
Amount of Grant Requested: 25,000⁰⁰ (VETERINARY MEDICINE IS 60,000⁰⁰ PER YEAR)	Total Organization Budget:	Percentage of Organization's Total Budget used for Administration:
Purpose of Grant Request (one sentence): TO HELP FUND THE SANCTUARY'S EXPENSES IN VETERINARY MEDICINE FOR RESCUED ANIMALS.		
Gimbel Grants Received: List Year(s) and Award Amount(s) 0		

Signatures

Board President / Chair: (Print name and Title) NATALIE OWINGS, DIRECTOR	Signature: <i>Natalie Owings</i>	Date: JUNE 2, 2014
Executive Director/President: (Print name and Title) SAME AS ABOVE	Signature:	Date:

I Organization Background: Target Population

A) The Heart and Soul Animal Sanctuary is located in Glorieta, New Mexico on 130 acres which were donated to the cause in 1998.

The sanctuary has rescued hundreds of abandoned animals during the last 16 years. The species includes dogs, puppies, cats, horses, llamas, goats, rabbits, ducks, chickens, geese and guinea pigs. The Sanctuary continually rescues and rehabilitates animals who have been abandoned, starved or wounded. We concentrate primarily on the rescue of pregnant and nursing mother dogs, and their puppies. We rescue hundreds of puppies each year from 2 days old and up, doing bottle feeding when necessary. At any given time The Heart and Soul Animal Sanctuary is caring for approximately 200 animals. The Sanctuary has been providing service and humane education programs for the community since 1997. The Heart and Soul Animal Sanctuary was granted a 501(c)(3) tax exempt status in 1997 by the IRS; Natalie Owings, director, had been rescuing New Mexico dogs and puppies for many years prior to that time.

B) The Sanctuary, for the last 3 years, has proved capable of rescuing more and more abandoned puppies. We have been able to make healthy and constructive contacts in Northern Colorado where we send our puppies for adoption in civilized and caring communities. We have also been increasing the size of our habitats and we have been able to make many improvements; one of the improvements is a very large aviary for rescued doves. (These rescued doves cannot be released into the wild.)

C) Our key programs include the rescue and rehabilitation of litter after litter of abandoned puppies. This is of great concern in New Mexico because the euthanasia rates at New Mexico shelters are extremely high. In our rescue work the communities we serve are low income and generally have little compassion for animals. The communities we serve exist throughout New Mexico and they generally consist of Hispanic and Indian populations. In terms of statistics we receive most of our abandoned litters from southern New Mexico just north of the Mexican border. We receive several hundred puppies annually from this area who are undernourished, abandoned and sick.

II Project Information:

A) The need consists of the rescue of abandoned animals throughout New Mexico. Most animals arrive at the Sanctuary from many areas throughout New Mexico in pathetic condition and in immediate need of veterinary care. This is the need for which we are applying for funding.

B) Our goal is to rescue and rehabilitate as many abandoned puppies and nursing mothers as we can. During the formative stages of rehabilitation, when veterinary care is essential, we work with the Smith Veterinary Hospital in Santa Fe and their team of 12 veterinarians. The hospital is accredited by the American Animal Hospital Association. After hospitalization the animals are brought back to The Heart and Soul Animal Sanctuary for rehabilitation and, depending on the severity of the animal's health, can take any where from 1 week to 6 months.

1. The communities in New Mexico from which we rescue are largely low income and the people are not inclined to spend any money for veterinary medicine, or on quality food for animals. In these respects New Mexico has to be considered as 3rd world. The need is very great and this Sanctuary is doing all it can.

The Sanctuary is unique in that it has lots of land under conservation easement. The setting in the Sangre de Cristo Mountains is beautiful. The animals love the Sanctuary and we have many visitors and school children arriving almost every day. We provide humane education for many school groups as well as for individual children. Also, the Sanctuary is very unusual in that we do not use any cages. All species have large habitats and the resident dogs can wander freely.

2 Objective 1 To rescue more litters of abandoned puppies. We have a new puppy house for rehabilitation under way.

Objective 2 To increase our contacts in other states through public relations in order to find other destinations for adoption of our rehabilitated puppies.

Objective 3 To increase the number of children visiting the Sanctuary for humane education. In order to do this we invite parents and teachers and leaders of various activity groups to visit the sanctuary. This is being done continually. All of these individuals tend to be very responsive and affirmative. They recognize the great need for humane education in New Mexico.

3. Who Will This Grant Serve? This grant will serve our rescued animals by helping with our very large expense of veterinary medicine. This grant serves to rehabilitate hundreds of puppies and mother dogs with puppies. Our puppies are rescued in great numbers from Indian reservations, Hispanic communities, and dumpsters. Horses in starved condition are seized by the State Livestock Inspector, Donald Maestas, and brought to the Sanctuary, or we will find them, dumped. Cats arrive from individuals who have found them stray, and from animal shelters' euthanasia lists. Poultry and rabbits are rescued statewide.

4. How Does This Project Relate To Other Existing Projects In The Community?

There is no other project of this nature in our community. There are a couple of small sanctuaries making an effort to serve needy animals. In Santa Fe, which is about 15 miles away, there is the Santa Fe Animal Shelter. Our procedures and practices are extremely different. Our Sanctuary provides lifelong care when necessary. Occasionally we do work with the Santa Fe Shelter by accepting their puppies and older cats. The Sanctuary has a few volunteers who help in many ways. One volunteer is a board member who helps continually with online coordination, contacts and assistance. Other volunteers prefer to socialize puppies or clean the rabbit areas or work around the horse barn. There are many ways in which volunteers help depending on their personalities. The Heart and Soul Animal Sanctuary has created beautiful, large natural habitats for all of the animals, separated one from the other. No cages are used. Nursing mother dogs have special, private nurseries which are quiet and extremely comfortable. The staff provides personal attention for the nursing mothers and puppies. This high quality of care is non-existent in other parts of New Mexico.

C. Project Outcomes And Evaluation

1. The anticipated outcome of our project is very positive; it is enthusiastically supported by our community, and of course has a very fruitful impact on the community in terms of rehabilitation and adoptable animals.

2. We know if we have achieved the expected outcome because the evidence of healthy and happy faces is all around. Our volunteers, staff and the directors do not want to see one unhappy animal anywhere!

3. The directors and staff at the Sanctuary are present, active and observant every single day. We are compassionate and aware of the rehabilitation process. The success of our rehabilitation effort is measured in terms of adoptable puppies and happy resident animals.

D. We would like to use the grant fund for veterinary medicine costs which are incurred daily at the Smith Veterinary Hospital in Santa Fe. The total cost of our veterinary medicine is between \$60,000 and \$70,000 every year. Additional veterinary medicine expenses are incurred at the Veterinary Emergency and Specialty Center of Santa Fe. Emergency care for our rescued animals results in approximately eight to ten thousand dollars per year.

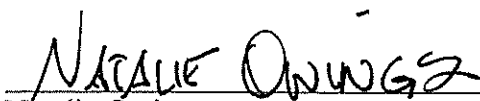
III Project Future:

A. The Sanctuary continues to fund raise which is done through our quarterly newsletter and through letters to our members.

IV Governance, Executive Leadership and Key Personnel/Staff Qualifications.

A. The Board of Directors of The Heart and Soul Animal Sanctuary consists of 5 members of the board plus a special committee of 5 additional members. The Board of Directors is very caring about the work of the Sanctuary. It is very concerned about progress and keeps the Sanctuary in good order throughout the year. The Board is extremely valuable in terms of decision making and public relations. The committees include fundraising, public relations, decision making and communications.

B. Natalie Owings is a dedicated and experienced director familiar with New Mexico issues. Board member, Sue Allen, is a dedicated volunteer and assistant. Terry Dudding, our treasurer is an accomplished CPA. John Stevensen is an assistant to the director and is very experienced in photography and public relations matters. Staff members, JoAnne, Barbara, Pat, Kailey, Scott and Allen help take care of daily tasks such as feeding, cleaning, laundry, maintenance of habitats, pick-ups and deliveries of supplies, and generally taking care of the needs of the animals. There are also a number of volunteers who assist in performing the necessary daily tasks including socializing the puppies.



Natalie Owings

Founder and Director

2014 S.L. Gimbel Foundation APPLICATION

V. Project Budget

A) Please provide a detailed line-item budget for your project by completing the table below.
Include all sources of funding for the proposed project.

Line Item Description	Line Item Explanation (Formula/equation used as applicable. Example: 40 books @ \$100 each = \$4000)	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Veterinary Medicine			78,000	25,000.00	103,000
TOTALS:					

VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
Scaife Family Foundation	50,000
Estate of Anna Wingert	20,000
Amy + William T Convey Charitable Fund	10,000
Gumbel Foundation	8,000

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
Scaife Family Foundation	50,000	Jan 2014

VII. Financial Analysis

Agency Name: Heart + Soul Animal Sanctuary
 Most Current Fiscal Year (Dates): From 1/1/2013 To: 12/31/2013

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your entire organization. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. Double Check your figures!

Program to Total Expenses Ratio: Percentage of expenses used to support programming versus how much is spent for general management and fundraising. A general rule is that at least 75 percent of total expenses should be used to support programs – the higher the percentage the better.

Program Expenses	/Total Operating Expenses	= Program Expense Ratio
\$ 339,255 ✓	\$ 429,411 ✓	79 % ✓
990: Part IX, Column B, Line 25	990: Part IX, Column A, Line 25	

Administrative Expense (100%-Program Expense ratio) per 990 above	Percentage of Organization's Current Total Budget used for Administration (from cover page)	Differential
21 %	21 %	0 %

If the differential is above (+) or below (-) 10%, provide an explanation:

Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$ 492,906	\$ 0	1,467.38	336

Excess or Deficit for the Year:

Excess or (Deficit) 2013	Excess or (Deficit) 2012
Most recent fiscal year end	Prior fiscal year end
\$ 88,818 Deficit	\$ 479,635 Excess

Notes:

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$ 233,404	69	Program Fees	\$ -	
Fundraising/Special Events	\$ 16,000	5	Interest Income	\$ 3,189	1
Corp/Foundation Grants	\$ 88,000	25	Other:	\$	
Government Grants	\$ 0		Other:	\$	

**THE HEART AND SOUL ANIMAL SANCTUARY
BOARD OF DIRECTORS AND THEIR AFFILIATIONS**


NATALIE OWINGS – Founder and Director of The Heart and Soul Animal Sanctuary

FELI FUNKE-RIEHLE – Assistant to the Director

SUE ALLEN - Volunteer (4+ years), mailing list, computer work, online coordination

TERRY DUDDING – Certified Public Accountant, Treasurer

JOHN F. STEVENSON – Public Relations and Photography



Natalie Owings,
Founder and Director

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX.

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	8,663.	8,663.	0.	0.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.	0.	0.	0.
7 Other salaries and wages	85,071.	85,071.		
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	9,361.	9,361.		
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	9,375.		9,375.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amt exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)				
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	25,023.		25,023.	
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	35,150.		35,150.	
23 Insurance	8,208.		8,208.	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>VETERINARY EXPENSE</u>	102,579.	102,579.		
b <u>ANIMAL FOOD</u>	68,799.	68,799.		
c <u>REPAIRS/MAINTENANCE</u>	28,173.	28,173.		
d <u>HUMANITARIAN EDUCATION</u>	26,242.	26,242.		
e All other expenses	22,767.	10,367.	12,400.	
25 Total functional expenses. Add lines 1 through 24e	429,411.	339,255.	90,156.	0.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

MARQUE OWINGS, DIRECTOR

The Heart & Soul Animal Sanctuary
Profit & Loss
 January through December 2013

	<u>Jan - Dec 13</u>	<u>% of Income</u>
Ordinary Income/Expense		
Income		
Contributions Income		
Unrestricted Contributions	337,403.65	100.0%
Total Contributions Income	<u>337,403.65</u>	<u>100.0%</u>
Total Income	337,403.65	100.0%
Expense		
Management & General		
Automobile		
Gas & Oil	4,663.08	1.4%
Insurance & Registration	1,878.50	0.6%
Repairs	5,262.05	1.6%
Total Automobile	<u>11,803.63</u>	<u>3.5%</u>
Dues, Subscriptions, Publications	586.02	0.2%
Insurance		
Liability Insurance	1,059.02	0.3%
Workers' Comp. Insurance	7,149.00	2.1%
Total Insurance	<u>8,208.02</u>	<u>2.4%</u>
Licenses and Permits	10.00	0.0%
Professional Fees		
Accounting	9,374.85	2.8%
Total Professional Fees	<u>9,374.85</u>	<u>2.8%</u>
Utilities		
Gas & Electric	23,360.51	6.9%
Refuse	150.00	0.0%
Telephone	1,238.02	0.4%
Utilities - Other	275.00	0.1%
Total Utilities	<u>25,023.53</u>	<u>7.4%</u>
Total Management & General	55,006.05	16.3%
Program Services		
Animal Care		
Contract Labor Animal Care	1,180.00	0.3%
Payroll Animal Care		
Executive Director Wages	8,662.70	2.6%
Payroll Tax Overhead	9,366.99	2.8%
Wages	85,064.89	25.2%
Total Payroll Animal Care	<u>103,094.58</u>	<u>30.6%</u>
Puppy Evacuation Costs	3,633.02	1.1%
Total Animal Care	<u>107,907.60</u>	<u>32.0%</u>
Animal Food	68,798.54	20.4%
Contributions Out	800.00	0.2%
Humanitarian Education		
Internet/Web Site	321.23	0.1%
Newsletter	25,777.57	7.6%
Humanitarian Education - Other	143.66	0.0%
Total Humanitarian Education	<u>26,242.46</u>	<u>7.8%</u>
Repairs & Maintenance		
Building Repairs	7,227.93	2.1%
Equipment Repairs	3,300.48	1.0%
Maintenance	2,711.61	0.8%
Sundry Facilities	14,932.99	4.4%
Total Repairs & Maintenance	<u>28,173.01</u>	<u>8.3%</u>
Supplies		

NATASHA OWINGS

The Heart & Soul Animal Sanctuary
Profit & Loss
 January through December 2013

	<u>Jan - Dec 13</u>	<u>% of Income</u>
Animal Care	4,754.41	1.4%
Total Supplies	4,754.41	1.4%
Veterinary Costs	102,578.82	30.4%
Total Program Services	339,254.84	100.5%
Total Expense	394,260.89	116.9%
Net Ordinary Income	-56,857.24	-16.9%
Other Income/Expense		
Other Income		
Dividend Activity		
Dividend Income	3,189.36	0.9%
Less Reinvestment of Div. Income	-3,104.78	-0.9%
Total Dividend Activity	84.58	0.0%
Investment		
Unrealized Gains in Securities	19,675.05	5.8%
Total Investment	19,675.05	5.8%
Total Other Income	19,759.63	5.9%
Net Other Income	19,759.63	5.9%
Net Income	<u>-37,097.61</u>	<u>-11.0%</u>

MARILIE OWINGS

The Heart & Soul Animal Sanctuary
Balance Sheet
As of December 31, 2013

	Dec 31, 13
ASSETS	
Current Assets	
Checking/Savings	
Checking - Century Bank	1,383.91
Vanguard Advantage Ckg. 4833	-1,760.11
Vanguard Money Fund Acct. 7969	378,371.18
Vanguard VBS Stock Holdings4341	114,911.38
Total Checking/Savings	492,906.36
Total Current Assets	492,906.36
Fixed Assets	
Accumulated Depreciation	-356,026.52
Buildings - Animal Facilities	
Cat Facility	32,467.99
Giant Dog House	200,801.60
Gift Shop	9,824.68
Greenhouse	5,188.89
Horse Stables	7,963.06
Puppy House	27,192.49
Staff/Guest Cabin	75,624.80
Yurt	10,321.30
Buildings - Animal Facilities - Other	210,705.49
Total Buildings - Animal Facilities	580,090.30
Dove Habitat	17,354.10
Equipment	63,706.92
Equipment - Office	6,845.13
Equipment - Shed	695.00
Land Improvements	232,149.50
Vehicles	50,377.95
Total Fixed Assets	595,192.38
TOTAL ASSETS	1,088,098.74
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Payroll Liabilities	
Federal W/H Payable	394.00
FICA W/H Payable	473.78
NM W/H Payable	599.60
Total Payroll Liabilities	1,467.38
Total Other Current Liabilities	1,467.38
Total Current Liabilities	1,467.38
Total Liabilities	1,467.38
Equity	
Fund Balance	1,123,728.97
Net Income	-37,097.61
Total Equity	1,086,631.36
TOTAL LIABILITIES & EQUITY	1,088,098.74

Natalie Owings

The Heart & Soul Animal Sanctuary
Balance Sheet
As of December 31, 2013

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TOTAL LIABILITIES & EQUITY	1,088,098.74

NATHELLE OWINGS

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: JUL 9 2002

THE HEART AND SOUL ANIMAL SANCTUARY
369 MONTEZUMA AVE # 130
SANTA FE, NM 87501

Employer Identification Number:
31-1549681
DLN:
17053089632002
Contact Person: MICHAEL A LUDWIG ID# 31470
Contact Telephone Number:
(877) 829-5500
Our Letter Dated:
November 1997
Addendum Applies:
No

Dear Applicant:

This modifies our letter of the above date in which we stated that you would be treated as an organization that is not a private foundation until the expiration of your advance ruling period.

Your exempt status under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3) is still in effect. Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Code because you are an organization of the type described in section 509(a)(1) and 170(b)(1)(A)(vi).

Grantors and contributors may rely on this determination unless the Internal Revenue Service publishes notice to the contrary. However, if you lose your section 509(a)(1) status, a grantor or contributor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act, or the substantial or material change on the part of the organization that resulted in your loss of such status, or if he or she acquired knowledge that the Internal Revenue Service had given notice that you would no longer be classified as a section 509(a)(1) organization.

You are required to make your annual information return, Form 990 or Form 990-EZ, available for public inspection for three years after the later of the due date of the return or the date the return is filed. You are also required to make available for public inspection your exemption application, any supporting documents, and your exemption letter. Copies of these documents are also required to be provided to any individual upon written or in person request without charge other than reasonable fees for copying and postage. You may fulfill this requirement by placing these documents on the Internet. Penalties may be imposed for failure to comply with these requirements. Additional information is available in Publication 557, Tax-Exempt Status for Your Organization, or you may call our toll free number shown above.

If we have indicated in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

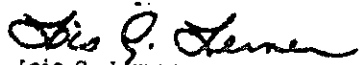
Letter 1050 'DO/CG)

THE HEART AND SOUL ANIMAL SANCTUARY

Because this letter could help resolve any questions about your private foundation status, please keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown above.

Sincerely yours,


Lois G. Lerner
Director, Exempt Organizations



The Community Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS September 17, 2014

James Cuevas
Chair of the Board

Ms. Natalie Owings
Director

Philip Savage IV
Vice Chair of the Board

The Heart and Soul Animal Sanctuary
369 Montezuma Ave. No. 130
Santa Fe, NM 87501

Pat Spafford, CPA
Chief Financial Officer

Sean Varner
Secretary of the Board

Dear Ms. Owings:

Glenda Bayless

Congratulations! A grant has been approved for **The Heart and Soul Animal Sanctuary** in the amount of **\$25,000** from the S.L. Gimbel Foundation. The **performance period for this grant is October 1, 2014 to September 30, 2015.**

Sergio Bohon

Rabbi Hillel Cohn

Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Andrea Dutton

Robert Fey

The Smith Veterinary Hospital/Veterinary Medicine: To help fund the Sanctuary's expenses in veterinary medicine for rescued animals.

Paul Granillo

Stanley Grube

Kirk Harns

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

Dr. Albert Karnig

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The **Grant Evaluation is due on October 31, 2015** and a copy will be available online.

Beverly Stephenson

Grover Trask

Immediate Past Board Chair

We wish you great success and look forward to working with you during the grant performance period.

Dr. Jonathan Lorenzo Yorba
President and CEO

If you have any questions, please call me at 951-684-4192 ext. 114 or email me at ccudiamat@thecommunityfoundation.net.

Sincerely,

Celia Cudiamat
Executive Vice President

21020 The Heart and Soul Animal Sanctuary 20140565

GIMB3



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

2014 S.L. Gimbel Foundation Fund

Grant Agreement

Organization: The Heart and Soul Animal Sanctuary

Grant Amount: \$ 25,000 **Grant Number:** 20140565

Grant Period: October 1, 2014 to September 30, 2015 (Evaluations due October 31, 2015)

Purpose: The Smith Veterinary Hospital/Veterinary Medicine: To help fund the Sanctuary's expenses in veterinary medicine for rescued animals.

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the **"S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California"** is suggested. When your donors are listed in printed materials, include the S.L. Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When

publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.

NATALIE OWINGS
Signature

Sept. 27, 2014
Date

NATALIE OWINGS
Printed Name

DIRECTOR
Title

Organization: 21020 The Heart and Soul Animal Sanctuary
Grant Number: 20140565

10/2/14
do



The
Community
Foundation

Serving the Counties of Riverside and San Bernardino

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS

October 2, 2014

James Cuevas
Chair of the Board

Philip Savage IV
Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

Sean Varner
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Rabbi Hillel Cohn

Andrea Dutton

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Stanley Grube

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Dr. Albert Karnig

D. Matthew Pim

Patrick O'Reilly

Rose Salgado

Beverly Stephenson

Grover Trask
Immediate Past Board Chair

Dr. Jonathan Lorenzo Yorba
President and CEO

Ms. Natalie Owings
Director
The Heart and Soul Animal Sanctuary
369 Montezuma Ave. No. 130
Santa Fe, NM 87501

Dear Ms. Owings:

The Community Foundation is pleased to enclose a grant check for **\$25000** from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. The completed Grant Evaluation form is due by October 31, 2015 and will be available online on The Community Foundations website under Grants/Forms . Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. **Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation."** You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

If you have any questions, please contact me at 951-684-4194.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

20140565

38058

GIMB3



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

VOID TO LIGHT TO VIEW WATERMARK ON PAPER. HEAT SENSITIVE RED IMAGE DISAPPEARS WITH HEAT. DETECTION CODES (BY CALIF. XEROX WITH TEST USE)

The Community Foundation

Strengthening Inland Southern California through Philanthropy
3700 SIXTH STREET, SUITE 200
RIVERSIDE, CA 92501
951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK
A Financial Services Company
3695 Main Street, Riverside, CA 92501
90-3414-1222

38058

PAY * Twenty-Five Thousand and no/100 *

TO THE ORDER OF

The Heart and Soul Animal Sanctuary
369 Montezuma Ave. No. 130
Santa Fe, NM 87501

DATE 09/18/2014 AMOUNT \$****25,000.00



Celia Andriamat
Jonathan Lorenzo
AUTHORIZED SIGNATURE

Security features. Details on back.

⑈038058⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation

38058

21020	The Heart and Soul Animal Sanctuary	09/18/2014	038058	
20140565	09/16/2014 The Smith Veterinary Hospital/Veterinary Medicine			25,000.00
GIMB	S.L. Gimbel Foundation Advised Fund			25,000.00

CHECK TOTAL: \$****25,000.00

The Community Foundation

38058

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CHECK TOTAL: \$****25,000.00